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1 **Assessment of Genomic Selection for introgression of polledness into Holstein**
2 **Friesian cattle by simulation.**

3

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13

14 **Abstract**

15 Naturally hornless cattle are called polled. The possibility to introgress the allele responsible
16 (P) for polledness in a cattle population that is more intensively selected for other traits is investigated
17 in this paper. Gene introgression, generally carried out by several steps of backcrossing and selection
18 takes a long time and may lead to unacceptable genetic loss in other traits or inbreeding. The main
19 objective of the current study was to evaluate the use of genomic selection to speed up the
20 introgression of a target allele in a conventional dairy cattle breeding scheme with overlapping
21 generations. A cattle population and a breeding program were simulated and run over a 12 year
22 selection period. Assuming that the polled population was inferior for overall genetic merit , two
23 selection strategies were evaluated to introgress: i) selection on conventional BLUP-EBV (CBLUP);
24 ii) selection on genomic EBV (GEBV) obtained with the genomic relationship matrix used in BLUP
25 (GBLUP). Both selection strategies were applied with (PSEL) and without (NOPSEL) selection for
26 the single polled locus (P). The overall level of genetic merit, the P allele frequency and the inbreeding
27 level (F) in the new born animals were monitored each, as well as the average genetic gain per year
28 of selection (ΔG). The overall genetic level of new born animals was higher for GBLUP compared
29 to CBLUP, with an average ΔG /year of 8.34% (GBLUP) against 7.49% (CBLUP). The PSEL
30 scenario reduced genetic gain, but P allele frequency increased from 0.130 to 0.415 (CBLUP) and
31 from 0.128 to 0.440 (GBLUP) for PSEL, after 12 years of selection. No substantial changes in allele
32 frequency were recorded for NOPSEL scenarios, both for CBLUP and GBLUP breeding schemes.
33 The overall inbreeding rate for GBLUP were 0.28%/y (NOPSEL) and 0.30%/y (PSEL) and for
34 CBLUP 0.52%/y (NOPSEL) and 0.44%/y (PSEL). In conclusion, application of GS to gene
35 introgression helped to speed up the process of introgression of a gene while simultaneously
36 increasing the genetic gain and reducing the inbreeding rate.

37
38 **Keywords:** Cattle, marker assisted introgression, genomic selection, polledness, breeding program,
39 dehorning

Introduction

Horned cattle cause management problems and increases veterinary expenses and other economic losses due to injuries during housing or transportation (Prayaga, 2007). Dehorning and disbudding animals are common solutions to overcome these issues, and in Europe 81.5% of dairy cattle and 32.5% of beef cattle are currently dehorned (Cozzi et al. this issue). However, it is suggested that these are painful practices (Stafford and Mellor, 2011), stressful for the animals, and they are perceived by society as not respectful for animal integrity. An alternative might be to breed for naturally hornless cattle called polled cattle. Polledness is based on a single gene, with the polled allele (P) being dominant over the horned allele (p). The gene has been mapped to the centromeric region of bovine chromosome 1 (Georges et al., 1993; Schmutz et al., 1995 Seichter et al. 2012), but, the actual causative mutation of polledness has not yet been identified.

Breeding programs for introgression of the polled allele in beef cattle have been set up in France for Charolais (www.genediffusion.com) and German Fleckvieh (Götze et al, this issue). In Holstein dairy cattle the feasibility to breed polled cows is limited both by lower breeding values for most traits of polled bulls and by the limited number of polled bulls available (Windig and Veerkamp, this issue). A breeding program thus requires introducing the polled allele into the group of cattle while maintaining the high genetic merit of the population.

Gene introgression is generally carried out by several steps of backcrossing and selection. Progeny of cattle from the donor population crossed with cattle from the recipient population carrying the introgressed target allele, are selected for the traits of interest and backcrossed to the recipient population to recover the genome of the original breed (Dekkers and Hospital, 2002; Hospital, 2005). This process is not always straightforward, may take a long time and lead to genetic loss in other

65 traits. Technological advances in molecular genetics have provided new opportunities for
66 introgressing favourable alleles from inferior populations by using information on genetic markers
67 (Visscher et al., 1996; Wall et al., 2005). Genetic markers can be used to speed up the process of gene
68 introgression directly or to select for (or against) a particular background (Davis and DeNise, 1998).
69 New tools have been developed in animal breeding exploiting the availability of dense marker maps
70 and high-throughput genotyping techniques like genomic selection (GS). GS relies on the
71 segmentation of the genome in thousands of intervals bracketed by contiguous SNPs and SNP effects
72 estimation on the whole genome. Currently up to 800K SNP chip are commercially available for
73 cattle. In the GS framework, the contribution of each SNP to the total genetic variance for a
74 quantitative trait is quantified, and hence the whole genetic variance may be explained by the markers
75 (Goddard and Hayes, 2007).

76 Genomic selection has been proposed in combination with introgression schemes as a way to
77 incorporate desirable genetic characteristics of a donor line into recipient lines. In aquaculture species,
78 Odegard et al., (2009b) demonstrated through computer simulation that GS is able to produce
79 backcross lines that perform similarly or better than purebred lines within 3 to 5 generations. GS
80 could be used to help to improve the genetic merit of polled cattle by shortening the generation
81 interval and achieving a faster genetic progress compared to selection based on EBV estimation by
82 BLUP.

83
84 The main objective of the current study was to evaluate the use of GS to speed up the
85 introgression of the polled allele in a conventional dairy cattle breeding scheme. To study the effect
86 of using GS in a short period of time a population with overlapping generations was simulated under
87 different selection scenarios and for 12 years of selection . Schemes with GS were compared to
88 traditional pedigree based schemes, both for schemes with and without selection for polledness.

89
90

Materials And Methods

A cattle population with overlapping generations was simulated and two selection strategies were compared: i) selection on conventionally estimated breeding values (CEBV) with BLUP using the pedigree based numerator relationship matrix (NRM) and ii) genomic EBV (GEBV) obtained with the genomic relationship matrix (GRM). Both selection for polledness (PSEL) and selection without polledness (NOPSEL) were evaluated for CEBV and GEBV. Polledness was simulated following the current genetic model of a single locus with the polled allele (P) being dominant over horned (p). Twenty replicates were performed for each simulated scenario.

A computer simulation program was developed for the evaluation of different selection strategies using FORTRAN 95. The program consisted of five steps (Figure 1) to simulate a cattle breeding program with overlapping generations. First, a base population was set up. The next four steps (breeding value estimation, selection and culling, mating, phenotype generation) were repeated every year for a period of twelve years.

Simulation Program

Population Set Up. First a base population was simulated with an effective population size of 200 animals, with 100 females and 100 males. This structure was kept constant for 1,000 generations in which animals were randomly mated. Two chromosomes of 1 Morgan each and twenty thousand bi-allelic loci were simulated in linkage equilibrium with allele frequencies of 0.5. In the 1000 generations thereafter, each locus had a mutation rate of 2.5×10^{-5} following Habier et al (2007). In total, on average across replicates, ~4,500 loci were segregating in the last generations. The base population for the breeding program itself consisted of genotypes from the last 6 generations, 1,200 individuals in total. From this base population 30 individuals were randomly chosen to be bulls and 570 to be dams to reduce the initial population size to 600 individuals.

Two hundred bi-allelic markers were randomly sampled to be QTLs. The allele substitution effect of each QTL was derived assuming equal contributions of all QTLs to the total additive genetic variance using: $\sigma_{g_i}^2 = 2p(1-p)\alpha^2 = \sigma_g^2 / n$ where p is the allele frequency at one QTL locus, α is the allele substitution effect, σ_g^2 was equal to the simulated variance and n was the number of QTL used (Falconer and Mackay 1996). The simulated additive genetic variance was scaled to obtain a heritability (h^2) of 0.3. The residuals were drawn from a normal distribution with mean 0, and variance $\sigma_e^2 = \sigma_g^2 \frac{(1-h^2)}{h^2}$. The True Breeding Value (TBV) of individual i was defined as the sum of QTL allelic effects for that individual across the n QTL loci according to

$$TBV_i = \sum_{j=1}^{nQTL} x_{ij} \cdot g_j \quad [1]$$

where x_{ij} is the number of copies of one of the two alleles that individual i carries at the j -th QTL position and g_j is the effect of the j -th QTL. The phenotypic value was obtained for each animal by adding a random residual $e_i \sim N(0, \sigma_e^2)$ to the TBV.

A locus was chosen among all the neutral markers to mimic the polled locus, taking into account that animals carrying the P allele have lower true breeding values than animals carrying the p allele. The initial P allelic frequency was set to 0.10 (± 0.05) in the base population with the aim to mimic the real frequency in Holstein cattle. All the parameters used in the simulation are summarized in table 1.

Breeding Value Estimation. The selection of individuals to obtain the next generation relied on breeding value estimation, carried out using two models, CBLUP, and GBLUP. In the first model pedigree information only was used to calculate the additive relationship matrix, whereas in the GBLUP approach only marker information was used to compute the genomic relationship matrix. In both cases variance components of random animal effects were re-estimated every year using ASREML software (Gilmour et al. 2009).

139 **CBLUP.** The model applied for breeding value estimations, ignoring the marker genotypes,
 140 is the classical BLUP animal model as:

$$141 \quad \mathbf{y} = \mathbf{1}\mu + \mathbf{Z}\mathbf{u} + \mathbf{e} \quad [2]$$

142 where \mathbf{y} is a vector of phenotypic values, $\mathbf{1}$ is a vector of ones, μ is the general mean, \mathbf{Z} is the
 143 incidence matrix that assigns the animals to their phenotypic records, \mathbf{u} is a vector of random additive
 144 genetic effects with distribution $\sim N(0, \mathbf{A}\sigma_u^2)$ and \mathbf{e} is a vector of random residuals with distribution
 145 $\sim N(0, \mathbf{I}\sigma_e^2)$, where σ_u^2 and σ_e^2 are the estimates of additive polygenic variance and residual
 146 variance, \mathbf{A} is the additive relationship matrix and \mathbf{I} is the identity matrix. Individuals in the pedigree
 147 and phenotypic records were updated each year as soon as new records were available. The solution
 148 of additive animal effects and the variances were estimated together using REML algorithm for every
 149 round of selection.

150 **GBLUP.** All the genome-wide marker information was used for estimating the genomic
 151 breeding values using the genomic relationship matrix approach according to the following model:

$$152 \quad \mathbf{y} = \mathbf{1}\mu + \mathbf{Z}\mathbf{g} + \mathbf{e} \quad [3]$$

153 where \mathbf{y} , μ , \mathbf{Z} and \mathbf{e} are the same as in the CBLUP, whilst \mathbf{g} , the vector with random animal
 154 effect, follow the distribution $\sim N(0, \mathbf{G}\sigma_u^2)$. The matrix \mathbf{G} contains the information about the actual
 155 fraction of alleles that two individuals share, differently from the \mathbf{A} matrix which contains the
 156 expected fraction of allele shared by two individuals. \mathbf{G} was calculated as in VanRaden, (2008). $\mathbf{G} =$
 157 $\mathbf{W}\mathbf{W}'/2\sum_i p_i(1-p_i)$ where \mathbf{W} is the centered matrix of SNP genotypes, p_i is the frequency of one
 158 of the two allele at i -th locus $\sigma_u^2 = 2\sum_i p_i(1-p_i)\sigma_g^2$ on the assumption that σ_g^2 is the genetic
 159 variance of marker effects. Variance components (σ_u^2 and σ_e^2) were re-estimated together with
 160 animal effects using REML every round of selection. Marker genotypes, individuals in the pedigree
 161 and phenotypic records were update as well.

162

163

Selection and culling.

The PSEL strategy was carried out grouping the bulls according to their genotype at the polled locus, and ranking them on breeding values. To be selected the bulls needed to carry at least one P allele. When no polled bulls were available, the best horned bulls were used instead. No selection was carried out on the P allele in cows. Cows and bulls were culled at an age greater than 5 and 9 respectively or when their breeding values were lower than available replacements. A further 5% of involuntary culling was also simulated.

Mating and Phenotypes. Selected sires were randomly mated to the selected cows to produce on average the same number of calves each (approximately half male and female). Half of the cows and heifers were mated with young bulls and half with top bulls. According to the marker alleles inherited each calf obtained a TBV. A phenotype was then assigned to the cows born in the previous year (based on their TBV plus a random environmental deviate following equation [1]) adding a new lactation record every year if an animal is within the herd. All calves (both male or female) were kept in the population for one year of breeding values estimation, and the next year the best animals were chosen to be young bulls and heifers based both on their predicted breeding value and polledness for bulls in PSEL scenarios.

Each year, pedigree, phenotypic records and marker genotypes of new born animals were added to the population information to provide the genetic evaluation of all animals.

Breeding Scheme

A dairy breeding scheme and population structure were set up to investigate how genomic selection affects the accuracy of selection (Figure 2). Animals, divided into males and females, were grouped into age class according to the proportion of animal staying alive during the current year t . Females are divided into calves (class of age 0), heifers (class of age 1) and cows (class of age ≥ 2). All female calves are kept in the population (from year t to year $t+1$) and when they are heifers are selected to either stay in the herd or to be culled. The male line was divided into 4 classes of

190 individuals: calves, young bulls, waiting bulls and top bulls. Class of age 0 include all the calves born
 191 in the current year. Calves to be kept as young bull (class of age 1) are selected according to their
 192 breeding values and polledness. Class of age 2-3 included the waiting bulls, which are waiting their
 193 genetic evaluation and are not used by the time, whilst the top bulls are grouped in the classes of age
 194 >5 (at age 9 are culled).

195 Figure 3 shows the breeding scheme adopted which contains the number of animals per each
 196 category of animal, the number of calves born each year and the modality of mating. At the set up of
 197 the population each individual was assigned to an age class, such that each class was equally
 198 represented. The selection and culling procedure have been described in the previous sections.

199

200 **Evaluation of scenarios**

201 The genetic gain, the P allelic frequency and the inbreeding level in the new born animals
 202 were monitored over time for all selection criteria. The average inbreeding coefficient (F) was
 203 calculated for new born animals. Furthermore, the overall inbreeding rate at year $t=12$ (ΔF_{1-t}^i) was
 204 calculated for the i -th replicate following Falconer and Mackay (1996) as $\Delta F_{1-t}^i = 1 - (1 - F_t^i)^{1/(t-1)}$,
 205 where F_t^i was the average inbreeding coefficient in the year $t=12$ for the i -th replicate. The maximum
 206 among the annual inbreeding rates was also reported (ΔF_t^i), calculated using the formula $\Delta F_t^i = (F_t^i -$
 207 $F_{t-1}^i)/(1 - F_{t-1}^i)$ where, for the i -th replicate, F_t^i and F_{t-1}^i were the average inbreeding coefficients in
 208 the years t and $t-1$, respectively. The overall ΔG per year was computed regressing the genetic level
 209 of the newborn animal, calculated as their average TBV, over time according to $G_j^i = a^i + b^i t +$
 210 e_j^i , where, for the i -th replicate G_j^i was the (CEBV or GEBV) in the year j , b^i the estimated ΔG per
 211 year and t the number of year of selection. To measure the accuracy of CEBV vs GEBV predictions,
 212 for all new born animals was also reported the squared correlation between TBV and CEBV or GEBV
 213 respectively.

214

215 **Results**

216

217 **Simulated Population.**

218 In the base populations on average $4,466 \pm 130$ markers segregated after 1,000 generations of
219 random mating. This value is the average \pm the standard deviation across twenty replicates and all
220 simulated scenarios. The number of segregating markers was similar across different scenarios and
221 replicates (Table 2). The average number of markers per cM was around 22.5. This figure approaches
222 the density for currently available commercial chip sets.

223 The simulated initial frequency for the P-allele was on average 0.130 and was similar across
224 different scenarios (Table 3). This value was quite close to the target value. Similarly, the values of
225 the simulated heritability of the quantitative trait are in good agreement with the target values (Table
226 3).

227 Irrespective of the simulated breeding scheme, the genetic merit constantly increased over the
228 years, although the rate of genetic improvement varied across breeding schemes. As intended, the
229 initial TBV were lower for animals carrying the P allele (-0.071 ± 0.28 , for PP animals and $-0.056 \pm$
230 0.15 for Pp animals respectively) in comparison to pp animals (0.019 ± 0.12).

231

232 **Effect of different selection strategies on genetic level**

233 Under NOPSEL selection scheme the annual genetic gain was 8.0%/y using CBLUP
234 estimation methods. Under PSEL this figure dropped to 6.9%/y due to the lower breeding values of
235 Polled animals (Table 4). Gains were higher using GBLUP both under NOPSEL (+1.0%) and under
236 PSEL (+0.7%). The cost of introduction of P alleles in the population (PSEL) similar under GBLUP
237 (about -1.3%) and CBLUP (-1.1%) estimation methods (Table 4).

238 Irrespective to PSEL strategies, the overall genetic gain/year of new born animals was higher
239 under GBLUP compared to CBLUP, with an average value of 8.3%/y (GBLUP) against 7.5%/y
240 (CBLUP).

241 Genetic gain was relatively low in the first three years. Especially, using CBLUP a sort of lag
242 can be observed. The higher genetic gain under GBLUP only became apparent after 9 years of
243 selection (Figure 4).

244

245 Independently from the selection strategy on Polledness adopted, the squared correlation
246 between TBV and GEBV ($r^2_{TBV,GEBV}$) for the new born animals were on average higher (0.705 and
247 0.731 NOPSEL and PSEL respectively) than for TBV and CEBV ($r^2_{TBV,CEBV}$ 0.209 for both NOPSEL
248 and PSEL strategies, Table 5). These values refer to the approximate reliability of breeding values
249 for the animal at the time of birth for each year of selection. The $r^2_{TBV,GEBV}$ tended to slightly rise
250 along the generations when GBLUP was implemented, due to both the increase of number of
251 genotyped animals (i.e. the reference population) and the frequent re-estimation (each year) of the
252 markers effects (Muir, 2007). Whereas, the $r^2_{TBV,CEBV}$ initially tended to increase, there is no specific
253 trend in later years.

254

255 **P Allelic frequency**

256 The frequency of P allele tended to be stable in NOPSEL scenarios and increased constantly
257 in the PSEL scenarios. In the case of NOPSEL breeding scheme the P allelic frequency slightly
258 dropped from the initial value of 0.13 to 0.08 (CBLUP) and 0.09 (GBLUP). In some replicates the P
259 allele was lost. (Figure 5). Conversely, the allele frequency raised to 0.41 (CBLUP) and 0.44
260 (GBLUP) under PSEL. At the phenotypic level around 18% of new born animal are polled in year 12
261 under NOPSEL compared to about 60% under PSEL. Carriers of the p allele are still common, only
262 1.5% (NOPSEL) and 12% (PSEL) are homozygous polled after 12 years of selection (Table 4).

263

Genetic level of Polled vs Horned

All genetic trends were positive especially after the 5th year (Figure 6). The genetic level of PP and Pp genotypes started at negative values because the average TBV was set to 0 at year 1 and polled animals had lower TBVs. Under CBLUP bulls had a lower genetic level after 12 years of selection, than under GBLUP, especially for PP bulls (0.58). Under GBLUP the genetic level of PP animals (0.70) was higher than the genetic merit of horned animals under CBLUP (0.67) after 12 years of selection. Using GBLUP the difference between heterozygous animals and pp animals almost disappeared after twelve years of selection, while the gap between pp and Pp animals remained about the same under CBLUP. The genetic merit of horned (pp) bulls showed the same trend as before switching from conventional to genomic selection breeding scheme.

Inbreeding

The inbreeding levels followed the pattern of genetic gain in all four simulated scenarios. Under NOPSEL and CBLUP ΔF_{1-12} was 0.52%/y whereas this figure dropped to 0.44%/y in the case of PSEL. Under GBLUP inbreeding rates were lower both for NOPSEL (0.28%/y) and PSEL (0.30%/y) Inbreeding rates under CBLUP varied more compared to GBLUP (Table 4). Consequently, the maximum among annual inbreeding rate (Max ΔF) was higher for CBLUP (0.93% (NOPSEL) and 0.94% (PSEL)) compared to GBLUP (0.61%/y and 0.59%/y for NOPSEL and PSEL respectively). The difference in inbreeding level between CBLUP and GBLUP only becomes apparent after 5 years of selection (Figure 7).

Discussion

This investigation is based on the idea that introgression of the P allele is a valuable option for breeding polled sires of high genetic merit. Thanks to the advance in molecular techniques and

288 the drop of genotyping costs, the use of genomic selection will allow to increase the accuracy of
289 selection, shorten the generation interval and increase the genetic level of polled animals.

290

291 **Effect of different selection strategies on genetic level**

292 The differences observed in genetic level between GBLUP and CBLUP show the potential advantage
293 of using GS when introgressing a target allele in a population, as also shown by Odegard et al.,
294 (2009a) who used GS to speed up the introgression of a major QTL from a donor line of lower genetic
295 level to a recipient line of higher genetic merit, and where conventional EBV selection was inefficient
296 to preserve the target QTL. Because of a longer generation interval and generally complex population
297 structures of livestock populations only a few examples of successful marker assisted introgression
298 (MAI) programs have been reported in literature (Hanset et al., 1995; Yancovich et al., 1996). With
299 MAI knowledge in advance of the location and the effect of QTL is needed, whilst with genomic
300 selection the introgression and the genetic improvement of selected candidates is done simultaneously
301 and any favorable allele from the “donor” population may be introgressed (Odegard et al., 2009a). In
302 case of absence of selection the recovery of recipient genome surrounding the introgressed gene
303 occurs at lower rate than the case of continue selection and it is function of intensity of selection
304 adopted. Results from Hospital et al. (1992) on MAI showed, even with very few marker per
305 chromosome, that the response of selection is different on carrier and not carrier chromosome, being
306 lower for the former. In the current study only two chromosome were simulate (one carrier and one
307 not) for computational time constrains. Moreover thousand of marker were simulated here.
308 Simulation of the whole cattle genome of 30 Morgan should give a precise estimation of that effect.
309 However, since genomic selection potentially allows to capture all the genetic variance of the trait
310 due to QTL in LD with markers, a better estimation of effect of this segment of DNA might result in
311 higher response of selection also for non carrier chromosome.

312

Results from this simulation show how GS increases the accuracy of breeding values of new born animals irrespective whether the breeding scheme for polledness is adopted or not. Previous simulation studies showed the potential of GS to increase the accuracy of selection and the genetic gain in dairy cattle (Schaeffer, 2006; de Roos et al., 2011; Lillehammer et al., 2011; Buch et al., 2012). The increase in accuracy due to GS compared to conventional selection is associated with the selective pressure on mendelian sampling terms (Daetwyler et al., 2007). The level of GEBV accuracy in the present study increased in the first 5 year and did not vary further. Several authors reported the decrease of accuracy over time due to recombination (Habier et al., 2007; Muir, 2007). In the present study the continued increase of the accuracy along the generations is due both to the yearly re-estimation of marker effects and the increasing number of generations with phenotypic and genomic information that is included in the reference population. These factors as cause of variation in GEBV accuracy have been reported before both in simulated and field data (Habier et al., 2007; Muir, 2007 ; Liu et al., 2011).

326

P allelic frequency

The frequency of the P allele is about constant when no selection on P is performed but increased under selection. There is no clear difference between GBLUP and CBLUP scenario apart from sampling error which was higher for CBLUP. The increase of P allelic frequency is moderate because we choose to select animals with at least one P allele as parents, rather than PP animals if the latter were of lower genetic merit. The allelic frequency reached in the present study after twelve years of relatively mild selection is in agreement to a program of introgression of favorable alleles for sensitivity at scrapie in sheep through computer simulation (Windig et al., 2004). Such mild selection may represent a good compromise between maximization of genetic gain per year, and maintaining an acceptable inbreeding level. A steep increase of the P allelic frequency can be reached using exclusively homozygous animal at P locus and should be joined to a genomic selection breeding scheme to control the inbreeding level in a more effective way. On the other hand, focusing solely on

339 polled status, the performance in economically important traits may be compromised in a
340 conventional EBV breeding program. Depending on the breeding strategy the transition to a polled
341 herd may take a long period of time. Shortening the generation interval due to the application of
342 genomic selection scheme favors the introgression. Furthermore, even if not considered in the present
343 study, putting priority on culling phenotypically horned cows will reduce the p allele frequency even
344 faster.

345

346 **Genetic level of Polled vs Horned.**

347 The genetic level of polled (both P/- or P/P) animals increased constantly during the selection
348 program for polledness. This increase of the breeding values of Polled animals was especially clear
349 in the later year of selection when the genomic selection became effective. The use of genomic
350 selection in GBLUP scenarios allows to have an extra gain both for P/- and PP new born animals in
351 comparison to CBLUP scenarios, due both to the higher accuracy of breeding values and shortened
352 generation interval. The usefulness of application of genomic selection breeding scheme was also
353 shown (extra ΔG from 28% up to 108% depending on the % of young bulls used) in breeding scheme
354 simulation studies which used both deterministic and stochastic approaches (König and Swalve 2009;
355 Pryce et al., 2010; de Roos et al., 2011).

356

357 **Inbreeding rate**

358 In the present study the annual inbreeding rate for GBLUP breeding scheme were lower than
359 conventional CBLUP breeding program. A similar example of the reduction of inbreeding level by
360 application of marker assisted selection was reported in a simulation study by Pedersen et al., (2009).
361 The lower inbreeding rates of GBLUP could be due to a better estimation of Mendelian sampling
362 terms and minimization of co-selection of sibs as shown by Daetwyler et al. (2007), and selection of
363 younger animals as also shown by Lillehammer et al., (2011) but opposite to the finding of de Roos
364 et al., (2011) who in their simulation study found that the shortening of the generation interval doubled

365 the genetic gain/year at the same inbreeding rate per generation, at cost of a higher inbreeding rate
366 per year. In our study the selection strategy affected the overall inbreeding rates. Although, we
367 computed the inbreeding at pedigree level, several authors stated that in GS program it is better to
368 control the rate of inbreeding at genomic level (Sonesson et al., 2012; Pryce et al. 2013). Rates were
369 slightly higher in case of PSEL scenario in comparison to NOPSEL for GBLUP. Conversely, in the
370 case of CBLUP the higher figure is recorded for NOPSEL.

371

372 **Conclusion**

373

374 Currently in Holstein cattle few bulls are available with the polled gene and they have on
375 average low breeding values for the other breeding goal traits. Selection for polledness will lead to
376 an (initial) decrease in the other breeding goal traits that could be contained using GS. The achieved
377 accuracy of genomic selection allows to increase the breeding values of polled sires faster than in a
378 conventional breeding scheme. The simultaneous increase of the frequency of the P allele, and
379 breeding values of Polled animals via genomic selection resulted in lower inbreeding rates compared
380 to conventional EBV breeding scheme.

381

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392 **Conflict of interest statement.**

393 I have no conflicts of interest to declare.

394

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469

470 **Table 1.** Parameters used to define the simulated population and the breeding scheme.

Items	Values
Genome length	200 cM
Number of chromosome	2
Average marker per cM	22.5
Number of QTL simulated	200
Distribution of QTL effect	$N \sim (0, \sigma^2_{gi})$
Number of generation of RM	1,000
Mutation rate of QTL or marker locus	2.5×10^{-5}
Recombination Rate (θ)	$\theta = f(d)$ Haldane
Initial frequency of P allele	0.10 ± 0.05
Initial Population size	100 males/100 females
Number of animal at gen 1000	600 males/600 females
Number of animal at gen 1001	30 males/570 females
Fertility	1
Involuntary culling	0.05
Number of calves born each year	475
Number of progeny/cows	1
Progeny per sire	~ 30
Year of selection	12
Heritability	0.3
Number of Replicates performed	20

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473 **Table 2.** Number of segregating loci after 1000 generation of random mating in the base population
 474 (1 Morgan) and average number of marker simulated \pm standard deviation across 20 replicates.

Chromosome	Estimation Method and scenarios			
	CBLUP		GBLUP	
	NOPSEL	PSEL	NOPSEL	PSEL
1	2,268 \pm 141	2,246 \pm 146	2,238 \pm 139	2,236 \pm 112
2	2,181 \pm 103	2,243 \pm 150	2,232 \pm 136	2,222 \pm 142
total	4,449 \pm 122	4,489 \pm 148	4,470 \pm 137	4,459 \pm 127

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 477

478 **Table 3.** Expected P allelic frequency, $E(\text{freq P})$, and simulated P allelic frequency, $\text{Sim}(\text{Freq P})$, in
479 the base population. Expected heritability, $E(h^2)$, actual simulated h^2 in the base population and
480 estimated h^2 using CBLUP or GBLUP in both scenarios of polled selection (PSEL or NOPSEL)
481 across 20 replicates.

Scenario	$E(\text{freq P}) \pm \text{sd}$	$\text{Sim}(\text{Freq P}) \pm \text{sd}$	$E(h^2)$	$\text{Simulated } h^2 \pm \text{sd}$	$\text{Estimated } h^2 \pm \text{se}$
CBLUP-NOPSEL	0.100 ± 0.05	0.130 ± 0.030	0.300	0.295 ± 0.047	0.290 ± 0.024
CBLUP-PSEL	0.100 ± 0.05	0.131 ± 0.030	0.300	0.307 ± 0.048	0.300 ± 0.024
GBLUP-NOPSEL	0.100 ± 0.05	0.128 ± 0.030	0.300	0.280 ± 0.039	0.307 ± 0.030
GBLUP-PSEL	0.100 ± 0.05	0.129 ± 0.029	0.300	0.302 ± 0.056	0.293 ± 0.031

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485 **Table 4.** Genetic gain/year \pm sd (ΔG_{1-12}) for the simulated trait, P allelic frequency \pm sd in new born
486 animal after 12 years of selection, (P_{12}), Genotypic frequency (\pm sd) of homozygous Polled and
487 heterozygous Polled in the base population (P/P_0 and $P/-_0$) and after 12 years of selection (P/P_{12} and
488 $P/-_{12}$). All values are averaged across 20 replicates..

Items	Scenario			
	CBLUP		GBLUP	
	NOPSEL	PSEL	NOPSEL	PSEL
ΔG_{1-12} /year (%)	8.00 ± 1.22	6.94 ± 1.09	9.00 ± 1.41	7.68 ± 1.10
Freq P_{12} newborn	0.085 ± 0.060	0.415 ± 0.095	0.092 ± 0.065	0.440 ± 0.088
Freq P/P_0	0.025 ± 0.015	0.026 ± 0.012	0.020 ± 0.013	0.030 ± 0.015
Freq P/P_{12}	0.015 ± 0.032	0.117 ± 0.056	0.015 ± 0.019	0.110 ± 0.058
Freq $P/-_0$	0.274 ± 0.113	0.289 ± 0.064	0.270 ± 0.087	0.292 ± 0.082
Freq $P/-_{12}$	0.173 ± 0.145	0.618 ± 0.089	0.196 ± 0.122	0.602 ± 0.129

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491 **Table 5.** Squared correlation (\pm sd) of CEBV (or GEBV) on True Breeding Vaules ($r^2_{EBV-TBV}$) in 4
492 different scenarios calculated for new born animals in the reference year and averaged across 20
493 replicates.

Year	$r^2_{EBV-TBV}$			
	CBLUP		GBLUP	
	NOPSEL	PSEL	NOPSEL	NOPSEL
1	0.077 \pm 0.126	0.086 \pm 0.113	0.641 \pm 0.040	0.594 \pm 0.060
2	0.240 \pm 0.086	0.230 \pm 0.068	0.668 \pm 0.046	0.662 \pm 0.046
3	0.236 \pm 0.079	0.249 \pm 0.081	0.715 \pm 0.033	0.690 \pm 0.053
4	0.247 \pm 0.085	0.255 \pm 0.070	0.714 \pm 0.035	0.719 \pm 0.043
5	0.250 \pm 0.048	0.242 \pm 0.054	0.744 \pm 0.026	0.740 \pm 0.040
6	0.255 \pm 0.079	0.261 \pm 0.066	0.756 \pm 0.035	0.758 \pm 0.032
7	0.258 \pm 0.042	0.239 \pm 0.066	0.765 \pm 0.031	0.738 \pm 0.039
8	0.201 \pm 0.043	0.185 \pm 0.047	0.747 \pm 0.035	0.697 \pm 0.060
9	0.189 \pm 0.080	0.191 \pm 0.071	0.741 \pm 0.043	0.706 \pm 0.065
10	0.183 \pm 0.078	0.200 \pm 0.088	0.767 \pm 0.032	0.711 \pm 0.069
11	0.191 \pm 0.064	0.173 \pm 0.067	0.767 \pm 0.043	0.729 \pm 0.093
12	0.185 \pm 0.089	0.197 \pm 0.056	0.754 \pm 0.041	0.720 \pm 0.080
Average \pm sd	0.209 \pm 0.075	0.209 \pm 0.071	0.731 \pm 0.037	0.705 \pm 0.057

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495

496 **Figures**

497 **Figure 1.** Five step outline of simulated breeding program. Each step represent a module of the
498 simulated breeding program.

499 **Figure 2.** Flow of animals from year t to year $t+1$. The population is split in male and female and
500 all selected animals in the current year are signed by (s). Different flows are indicated with 3 type of
501 arrow: flow of animal born in the previous year or animals already in the population (solid arrow)
502 flow of animal born during the year (dotted arrow), flow of animal culled during the year (dashed
503 row) .

504 **Figure 3.** Structure of the breeding scheme

505 **Figure 4.** Average breeding values (GEBV or CEBV) of new born animals in each year averaged
506 across 20 replicates in four analyzed scenarios (bar represent standard deviation): polled selection
507 using either CBLUP (CBLUP-PSEL) or GBLUP (GBLUP-PSEL); No selection on polledness using
508 both CBLUP (CBLUP-NOPSEL) and GBLUP (GBLUP-NOPSEL).

509 **Figure 5.** Pattern of P allelic frequency (each gray line is a replicate, whereas the black line is the
510 average) in new born animals along 12 years of selection across 20 replicates in: NOPSEL (a, b) and
511 PSEL scenarios (c, d) respectively, in case of either CBLUP (a, c) or GBLUP (b, d) breeding value
512 estimation method used.

513 **Figure 6.** Genetic level of new born animals according to the breeding values estimation method
514 (GBLUP vs CBLUP) and genotypes at polled locus (polled-PP and Pp; horned-pp) in PSEL scenarios.
515 Values are the average of 20 replicates.

516 **Figure 7.** Average inbreeding level (%) measured by mean of F coefficient across 20 replicates (bar
517 indicate standard deviation) either in case of no selection on polled locus (a) or in case of selection
518 on polled locus (b) using both CBLUP or GBLUP breeding value estimation methods.

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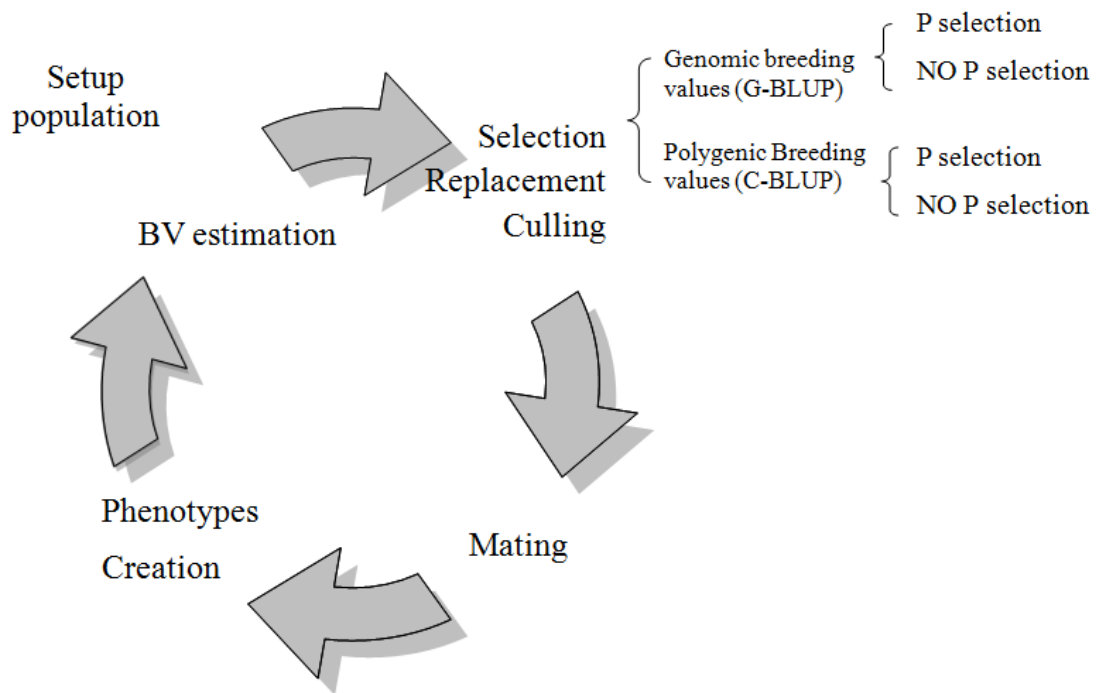


Figure 1.

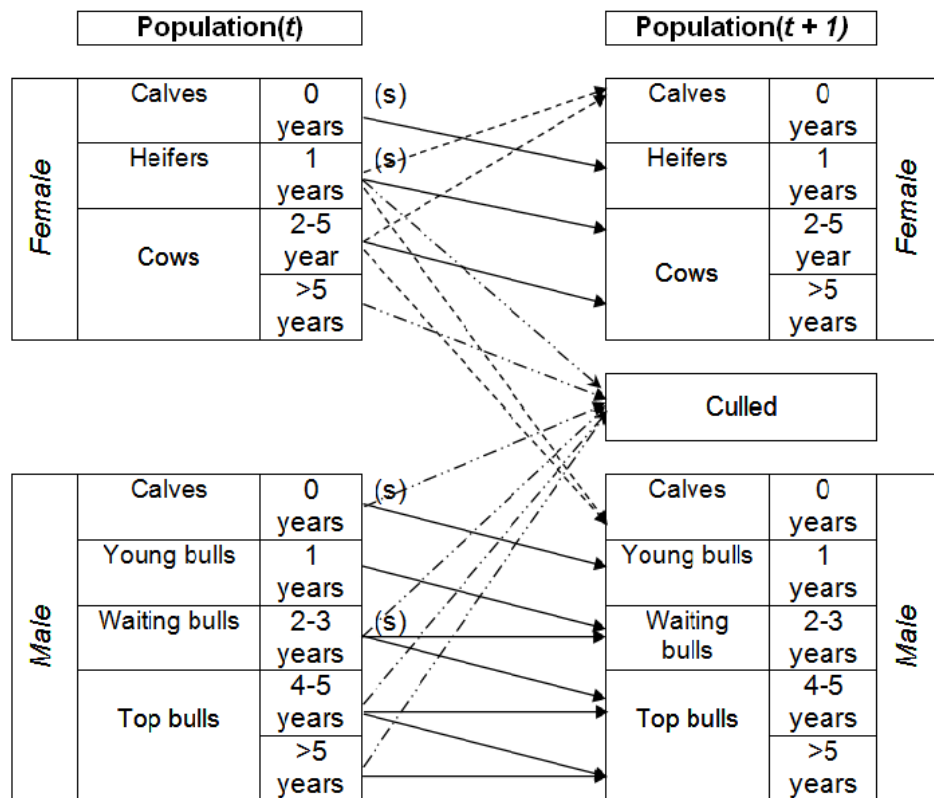
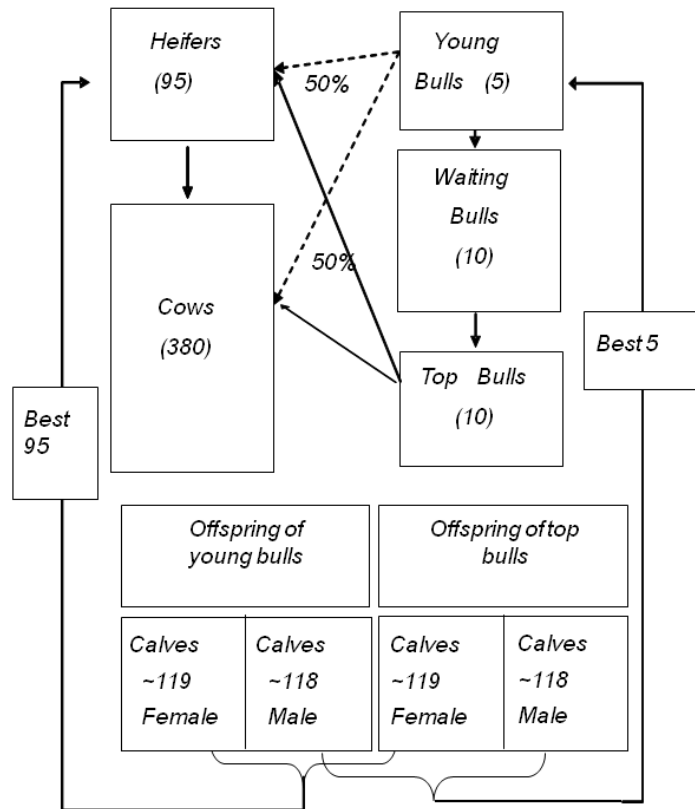
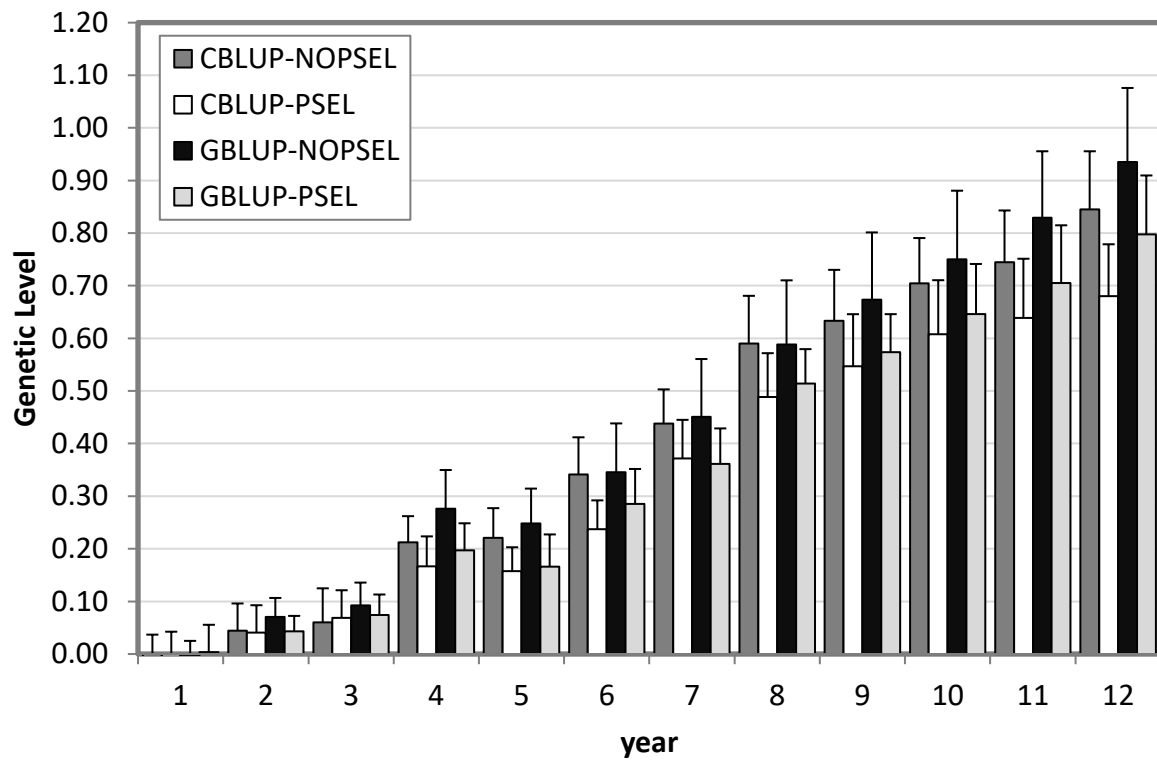


Figure 2.

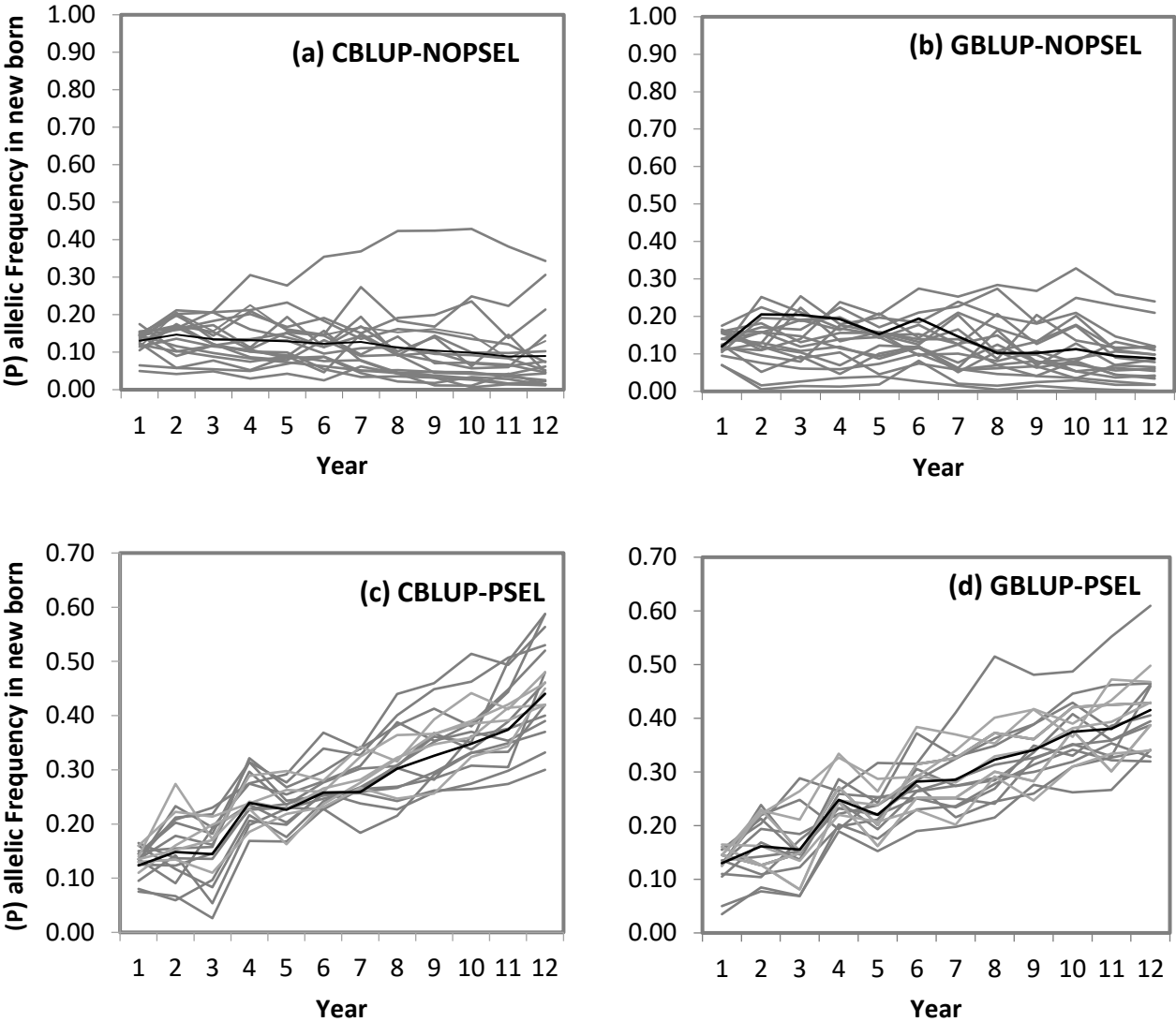




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531 **Figure 4.**

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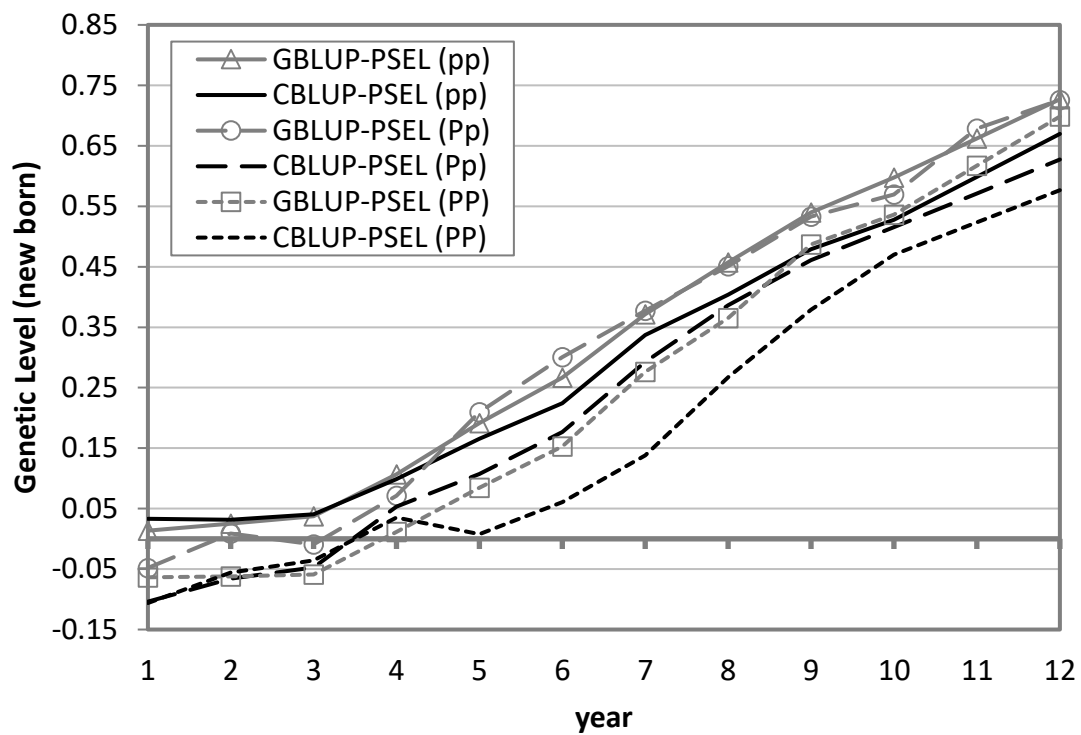


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536 **Figure 5.**

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539 **Figure 6.**

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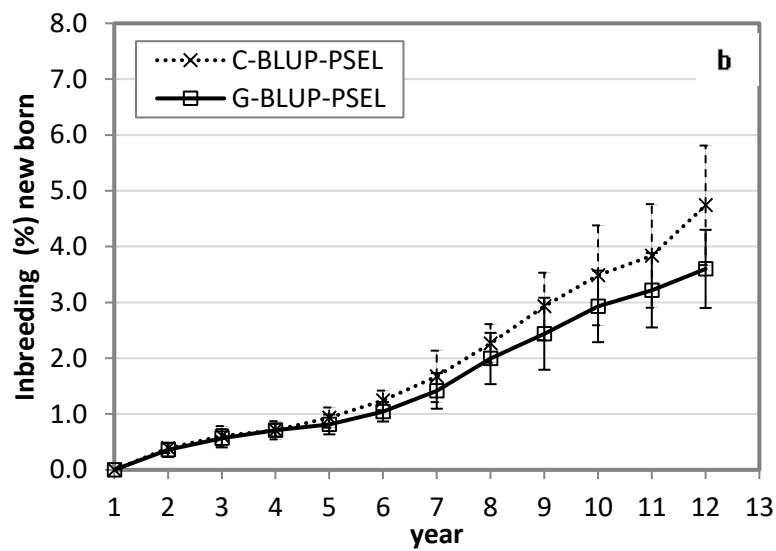
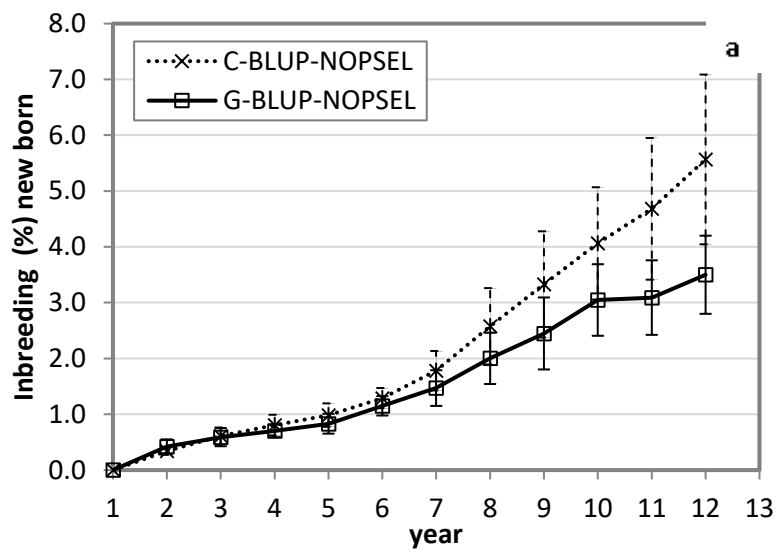


Figure 7.